

Addendum to Client’s Agreements and Risk Disclosure Statements

The following clause is amended in the manner stated below.

Original clause item	Revised clause item	Amendment (Newly added content will be underlined, and deleted content will be crossed)
<p>SECURITIES TRADING CLIENT AGREEMENT – Clause 5 - Settlement (p.10)</p>	<p>SECURITIES TRADING CLIENT AGREEMENT – Clause 5 - Settlement (p.10)</p>	<p>Revised Clause 5 “SETTLEMENT”:</p> <p>"5.3 The Client hereby acknowledges that the Client shall be responsible to BOCOM for any loss, costs, charges, fees, expenses, damages or other liability <u>(including but not limited to cash penalties for settlement fails in accordance with the Commission Delegated Regulation (EU). For details of the Commission Delegated Regulation (EU), please refer to their website at <a <="" href="https://eur-lex.europa.eu/legal-content/EN/TXT/?uri=uriserv:OJ.L_.2018.230.01.0001.01.ENG)</u> whatsoever incurred by BOCOM in connection with the Client’s failure to meet his obligations by the due settlement date as described in Clause 5.1." p=""> </u></p>